

08/21/01

11003 U.S. P.S.O.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.

N1379-002

First Inventor

Drew Russell IVERS

Title Soybean Cultivar SG0911RR

☒ **Assignee Information:** SOYGENETICS, LLC
West Lafayette, Indiana

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO: Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total pages [33]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) (Total Sheets) []
5. ☒ Oath or Declaration (Total Pages) [1]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]**
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
- ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
- ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C.
122(b)(2)(B)(i).
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an
application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

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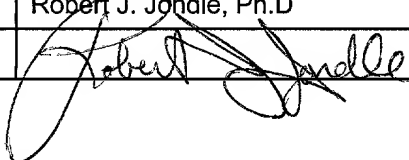
Fax

202-783-6031

Name

Robert J. Jondle, Ph.D

Signature



Date August 20, 2001

11050 U.S. P.S.O.
09/932918
08/21/01

FEE TRANSMITTAL for FY 2001 (Large Entity)		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Drew Russell IVERS
		Examiner Name	
		Group Art Unit	
Total Amount of Payment	(\$822.00)	Attorney Docket Number	N1379-002

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status

2. ☒ Payment Enclosed: Check

FEE CALCULATION
1. FILING FEE

Fee Code	Fee \$	Fee Description	Fee Paid
101	710	Utility filing fee	[710.00]
106	320	Design Filing Fee	[]
107	490	Plant Filing Fee	[]
108	710	Reissue Filing Fee	[]
114	150	Provisional Filing Fee	[]

SUBTOTAL \$710.00

2. CLAIMS

Total Claims	Extra Claims	Fee	Fee Paid
[24]	- 20** = [4]	x \$18 =	[72]
Independent Claims	[2]	- 2** = []	x 80 = []
Multiple Dependent Claims	+	270 =	[]

SUBTOTAL \$ 72.00

**or number previously paid, if greater;

FEE CALCULATION (continued)
3. ADDITIONAL FEES

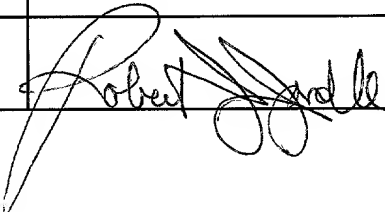
Fee Code	Fee Paid	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	[]
127	50	Surcharge - late provisional filing fee or cover sheet	[]
139	130	Non-English specification	[]
147	2,520	For filing a request for reexamination	[]
112	920	Requesting publication of SIR prior to Examiner action	[]
113	1,840*	Requesting publication of SIR after Examiner action	[]
115	110	Extension for reply within first month	[]
116	390	Extension for reply within second month	[]
117	890	Extension for reply within third month	[]
118	1,390	Extension for reply within fourth month	[]
128	1,890	Extension for reply within fifth month	[]
119	310	Notice of Appeal	[]
120	310	Filing a brief in support of an appeal	[]
121	270	Request for Oral Hearing	[]
138	1,510	Petition to institute a public use proceeding	[]
140	110	Petition to revive -unavoidable	[]
141	1,240	Petition to revive - unintentional	[]
142	1,240	Utility issue fee (or reissue)	[]
143	440	Design issue fee	[]
144	600	Plant issue fee	[]
122	130	Petitions to the Commissioner	[]
123	50	Petitions related to provisional applications	[]
126	180	Submission of Information Disclosure Statement	[]
581	40	Recording each patent assignment per property (times number of properties)	[40]
146	710	Filing a submission after final rejection (37 CFR .129(a))	[]
149	710	For each additional invention to be examined (37 CFR 1.129(b))	[]
179	710	Request for Continued Examination (RCE)	[]
169	900	Request for expedited examination of a design application	[]

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL

\$40.00

SUBMITTED BY			Complete (if applicable)		
NAME AND REG. NUMBER Robert J. Jondle, Ph.D Reg. No. 33,915					
SIGNATURE		DATE		DEPOSIT ACCOUNT USER ID	
		August 20, 2001			